



# Deliverables

Track open, past-due, and completed financial planning deliverables to validate quality and maintain compliance in your fee-for-service model.

## Centralize financial plan oversight

with agreements, payments, and deliverables all in the same system, you'll ensure nothing about your fee-for-service model slips through the cracks.

## Create scalable workflows

to empower your team every step of the way with multiple deliverable types, automatic due dates, reminders and alerts, and real-time approvals.

## Avoid regulatory fines

by being able to easily provide validation to regulators that the financial plan was delivered in alignment with the fees charged.

SEE IT IN ACTION

## Key Features



### Reviewer Role

Streamline oversight by designating users who can review deliverables and their supporting documentation in one place.



### Bulk Submit

Reduce time spent meeting compliance requirements by allowing advisors to save incremental progress on deliverables and bulk submit deliverables for review.



### Attestations

Support compliance efforts by collecting digital attestations from advisors and reviewers to verify that the firm's processes were followed.